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JCS88 U.S. PTO

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NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)

[] Duplicate
(check, if applicable)

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. 053884-5003
First Named Inventor: Simon Rhodes
Express Mail Label No. EL719361901US
Total Pages of Transmittal Form: 2

09/19/2001
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08/17/01

Transmitted herewith for filing is the non-provisional utility patent application entitled:

GENERATION OF DIAGNOSTIC TOOLS TO ASSAY THE HUMAN LHX3/P-LIM/LIM-3
FACTOR

which is:

an [] Original; or
a [X] Continuation, [] Divisional, or [] Continuation-in-part (CIP)

of prior Application No. PCT/US00/04424 filed February 22, 2000.

Anticipated Group/Art Unit: _____ or Class _____, Subclass _____.

[] This non-provisional patent application is based on Provisional Patent Application No. 60/121,110, filed February 22, 1999.

Enclosed are:

- [X] Specification (including Abstract) and claims: 185 pages.
- [] Application Data Sheet.
- [X] Newly unexecuted Declaration (original/copy).
- [] Copy of Declaration from prior application.
- [] Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- [52] sheets of drawings (formal) plus one copy.
- [] Microfiche computer program (Appendix).
- [X] Nucleotide and/or Amino Acid Sequence Submission, including:
 - [X] Computer readable copy [X] Paper Copy [X] Verified Statement.
 - [] Under PTO-1595 Cover Sheet, an assignment of the invention
- [X] Name of Assignee: Indiana University Advanced Research and Technology Institute
- [] Certified copy(ies) of _____ Application No(s). _____ filed _____ is/are filed:
 - [] herewith or [] in prior application &@.
- [X] Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under 37 C.F.R. §1.27 as [] an Independent Inventor, or [] a Small Business Concern, or [X] a Non-Profit Organization.
- [X] Preliminary Amendment.
- [] Information Disclosure Statement, PTO-1449, and cited references.
- [] Request for Nonpublication of Application Under 35 U.S.C. §122(b)
- [] Other:

The filing fee is calculated as follows:

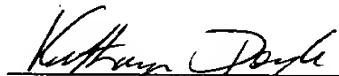
			SMALL ENTITY		LARGE ENTITY	
CLAIMS	NO. FILED	NO. EXTRA	BASIC FEE: \$355		BASIC FEE: \$710	
Total	68-20 =	48	X9	\$ 432.00	OR	X18
Independent	29-3=	26	X40	\$ 1040.00	OR	X80
[] Multiple Dependent Claims Present			\$135	\$	OR	\$270
			TOTAL	\$ 1827.00	OR	TOTAL

- [] The Commissioner is not authorized to charge the filing fee at this time as we elect to defer payment of the entire filing fee until receipt of a Notice to File Missing Parts.
- [X] A check in the amount of \$1827.00 to cover the filing fee is enclosed.
- [X] The Commissioner is hereby authorized to charge and/or credit Deposit Account No. 50-0310 (Billing No. 053884-5003) as noted below. A duplicate copy of this sheet is enclosed.
- [X] Any overpayments or deficiencies in the above-calculated fee.
- [] Filing fee in the amount of \$ _____ as calculated above.
- [X] Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- [X] In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS:

August 17, 2001
(Date)

By:


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KD/kck
Enclosures